# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup>:

G02B 6/42, 5/32

(11) International Publication Number: WO 94/18587

(43) International Publication Date: 18 August 1994 (18.08.94)

GB

(21) International Application Number: PCT/GB94/00220

(22) International Filing Date: 4 February 1994 (04.02.94)

(30) Priority Data: 9302324.0

5 February 1993 (05.02.93)

DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

(81) Designated States: CA, JP, US, European patent (AT, BE, CH,

Published

With international search report.

(71) Applicant (for all designated States except US): GEC-MARCONI LIMITED [GB/GB]; The Grove, Warren Lane, Stanmore, Middlesex HA7 4LY (GB).

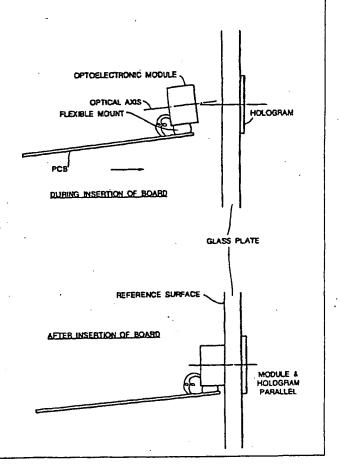
(72) Inventors; and

- (75) Inventors/Applicants (for US only): LAYCOCK, Leslie, Charles [GB/GB]; 1 Keeble Park, Maldon, Essex CM9 6YG (GB). ROBERTSON, Stuart, Charles [GB/GB]; 18 Stanmore Road, North Watford, Hertfordshire WD2 5ET (GB). CAWTE, Paul, Stephen [GB/GB]; 52 A Palermo Road, Harlesden, London NW10 3LA (GB). BAINS, Michel [GB/GB]; Stonebank, Bulkington Road, Wolvey, Leicester LE10 3LA (GB).
- (74) Agent: BRANFIELD, Henry, Anthony; GEC Patent Dept., Waterhouse Lane, Chelmsford, Essex CM1 2QX (GB).

(54) Title: OPTICAL BACKPLANES

(57) Abstract

An optical backplane uses a pair of deflection holograms to route a signal from a transmitter to a receiver. The angular alignment of the transmitter is critical and the transmitter modules are flexibly mounted on component boards at right angles to the backplane so that the face of the transmitter contracts the backplane and is aligned correctly.



### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

				•	
AT	Austria	GB	United Kingdom	MIR	Mauritania
ΑŪ	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinca	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	ΙE	Ireland	NZ	New Zealand
B.J	Benin	π	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Konya	RO	Romania
CA	Canada	KG	Kyzgystan	RU	Russian Federation
CF.	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
CE	Switzerland	KR	Republic of Korea	SI	Slovenia
α	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	ш	Liechtenstein	SN	Scocgal
CN	China	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LO	Luxembourg	TG	Togo .
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC .	Monaco	77	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali	UZ	Uzbekistan
FR	Prance	MN	Mongolia	VN	Vict Nam
GA	Gabog		•		

### OPTICAL BACKPLANES

The present invention relates to the implementation of optical backplanes using mass produced optical components and subsystems such as holograms and CD-type... laser diodes.

According to the present invention there is provided a telecommunications optical backplane connecting system comprising a hologram mounted on a plane surface forming part of the backplane and an optical transmitter and/or a receiver having a plane front surface perpendicular to its or their optical axis and being flexibly mounted on a respective component board or boards, said board or boards being mounted perpendicular to the backplane so as to bring the transmitter and/or receiver front surface into mating contact with the plane surface of the backplane, or a further surface parallel thereto by flexing of the flexible mounting so as to bring the transmitter and/or receiver optical axis normal to the backplane.

The present invention will now be described, by way of example, with reference to the accompanying drawings, in which:-

Figure 1 shows a schematic representation of a holographic backplane;

Figure 2 shows the geometry of the backplane of Figure 1;

Figure 3 shows graphically the relationship between lateral displacement and hologram deflection angle;

Figure 4 shows diagrammatically a self-aligning optical module;

Figure 5 illustrates a multi-bounce backplane configuration;

Figure 6 illustrates a typical layout of a rack of transmitter/receiver boards and

auxiliary reflectors/holograms;

Figures 7(a) to 7 (f) show examples of multiple-bounce interconnection paths;

Figure 8 illustrates the use of a combined holographic deflector/lens;

Figure 9 illustrates the effect of a collimated transmitter in the embodiment of ...
Figure 8; and

Figure 10 illustrates the effect of a defocussed transmitter in the embodiment of Figure 8.

A schematic of a basic system is shown in Figure 1. A pair of deflection holograms route each signal to the desired location using a single reflection from a mirrored backplane. These holograms are simple linear gratings and their translational alignment with respect to the transmitters and receivers are not critical: any lateral movement in the transmitter will be mapped one-to-one at the receiver, thus the holograms need only be aligned to within ~1mm in order to achieve satisfactory performance (compare this with a guided interconnect system in which µm size tolerances are necessary). However one parameter which does need to be considered carefully is the angular misalignment of the transmitter. It will be shown below that this factor places a physical restraint on the dimensions and geometry of the backplane itself. Solutions are proposed which allow the full length of a 480mm (19") shelf to be interconnected.

With reference to Figure 2, consider the transmitter being angularly displaced by  $\Delta\theta$  to the normal of the deflection hologram. From Bragg's law, the change in angle,  $\Delta\theta$ , from the desired angle  $\theta$ , is given by,

The dimensions of the backplane, viz. depth, t, and length, L, are related to  $\theta$  by,

$$\tan\theta = \frac{L}{2t}$$

Differentiating with respect to 9 gives

$$\frac{dL}{d\theta} = \frac{2t}{\cos^2\theta} \tag{2}$$

Hence, from equations (1) and (2), an angular displacement of the transmitter of  $\Delta\theta$ ' results in a <u>lateral</u> beam displacement,  $\Delta L$ , at the receiver of

$$\Delta L = \frac{2t}{\cos^3 \theta} . \Delta \theta' = \frac{(L^2 + 4t^2)^{3/2}}{4t^2} . \Delta \theta'$$
 (3)

This very strong dependence of lateral displacement on  $\theta$  is illustrated in Figure 3 where  $\Delta L$  is plotted against  $\theta$  for a value of angular displacement of 0.1° and a backplane depth of 50mm. It can be seen that above  $\theta = 50^{\circ}$ , the value of  $\Delta L$  begins to increase rapidly: if the latter is limited to 1mm then the maximum value  $\theta$  can take is 56°, and the maximum interconnect length L is 150mm. In order to extend this interconnection length up to the width of a 480mm (19°) shelf, it is proposed that one or more of the following three opto-mechanical solutions can be adopted: 1) the use of self-aligning optical modules, 2) the use of multiple reflections in dead space and 3) the use of deflector holograms incorporating lens-elements and a front hinging board mechanism.

This technique will limit the value which  $\Delta\theta$ ' can take and consequently permit greater interconnect lengths for a given backplane depth and lateral displacement.

In the optical backplane system, the holograms are fixed to a glass plate for

mechanical support, alignment and protection. The float glass plate used possesses very flat surfaces and is thus ideal as a reference for other optical components in the backplane. It is proposed that the transmit and receive optoelectronics are housed in light-weight, compact modules which are connected to the printed circuit-boards (PCBs) by means of flexible mechanical mounts and electrical connectors, see Figure 4. They would be positioned along the back edge of the boards such that when the latter are inserted into the shelf the front faces of the modules come in contact with the glass plate and are automatically aligned parallel to the holograms irrespective of the angle at which the PCBs are positioned.

It was shown earlier that a single-reflection backplane allowed interconnection up to a length of 150mm. A multiple reflection technique, as illustrated in Figure 5, would allow one end of a 480mm (19°) shelf to be interconnected to the other. However in order to maintain the superior flexibility of free space optical interconnects, it is important that these auxiliary reflections do not occur at the locations of intermediate receiver holograms, resulting in unacceptable crosstalk. It is therefore proposed to allocate the 'real-estate' between receiver holograms to particular transmitters and to use this dead-space to locate either simple plane reflectors or auxiliary holograms depending upon the relay function required. With reference to Figure 6, the backplane would be 'divided' into three sections. Communications within each individual section would be achieved using the standard one-bounce technique, with deflection angles always <56°. For links between adjacent sections, an auxiliary hologram would be used when L > 140mm. This device would redirect the light to the required receiver in the second section. For communications between the two end

sections an additional reflection would be employed before the redirecting hologram is addressed if L > 280mm. In the particular design illustrated, where adjacent boards are separated by 20mm, the first reflector/hologram is located  $7^1/_3$  board pitches away from the transmitter and the second device  $14^2/_3$  pitches away.

A selection of various interconnect paths are shown in figures 7(a) to 7(f).

Figure 7(a) shows a single reflection interconnection within a single one of the sections shown in Figure 6.

Figures 7(b) and 7(c) show two examples of interconnection between adjacent sections using an auxiliary hologram.

Figure 7(d) shows interconnection between the end sections using an auxiliary hologram.

Figure 7(e) shows interconnection between the end sections using an auxiliary reflector and a second hologram.

Figure 7(f) shows an interconnection network exploiting the fan-out capabilities of auxiliary holograms.

The final example shown in Figure 7(f) illustrates how each auxiliary hologram can provide a fan-out capability thus enhancing the flexibility of the system without putting undue strain on any individual holographic element.

One of the prime benefits of employing holograms as the deflecting elements is their capability to incorporate other optical functions, such as lensing. A holographic optical element (HOE) could thus be used not only to deflect the transmitter beam but in addition to <u>image</u> the source onto the receiver, thus minimising the extent of lateral misplacement of the beam at the receiver due to shifts in the position of the

transmitter, see Figure 8. In order to optimise the demagnification factor, D, of any transmitter misalignment, the transmitter/lens distance, U, must be maximised with respect to the lens/receiver, distance, V, since

$$D = \frac{U}{V}$$
 (4)

If the transmitter produces a collimated beam, then the use of a holographic lens whose focal length equals V, results in a value of  $D = \infty$  ie. the system is totally immune to lateral displacements of the transmitter, see Figure 9. It would however now be particularly sensitive to any residual angular misalignment of the transmitter. A more beneficial situation would be to slightly defocus the collimation of the transmitter such that the source appeared to emanate from a position at the front edge of the board, and to locate the board locking (and consequent hinging) mechanism at this same location, so that any angular misalignment of the board was centred on the apparent source position, see Figure 10.

The optimal solution will depend upon the relative magnitudes of the boards potential angular and lateral misalignment. In cases where the former is greater than the latter, the defocussed condition described above should be chosen; in cases where the situation is reversed, the transmitter should be more collimated.

- 1. A telecommunications optical backplane connecting system comprising a hologram mounted on a plane surface forming part of the backplane and an optical transmitter and/or a receiver having a plane front surface perpendicular to its or their optical axis and being flexibly mounted on a respective component board or boards, said board or boards being mounted perpendicular to the backplane so as to bring the transmitter and/or receiver front surface into mating contact with the plane surface of the backplane, or a further surface parallel thereto by flexing of the flexible mounting so as to bring the transmitter and/or receiver optical axis normal to the backplane.
- 2. A telecommunications optical backplane as claimed in claim 1, further comprising an auxiliary hologram providing multiple reflections.
- 3. A telecommunications optical backplane as claimed in claim 1 or 2, wherein the deflection angle is less than 56°.
- 4. A telecommunications optical backplane as claimed in claim 1, 2 or 3 wherein the hologram comprises a holographic deflector/lens.
- 5. A telecommunications optical backplane as claimed in claim 4 wherein the holographic deflector/lens is arranged to provide a defocussed image of the transmitter at the receiver.

6. A telecommunications optical backplane as claimed in claim 5, wherein the component board has a locking/hinging point at the apparent transmitter position.

Fig.1

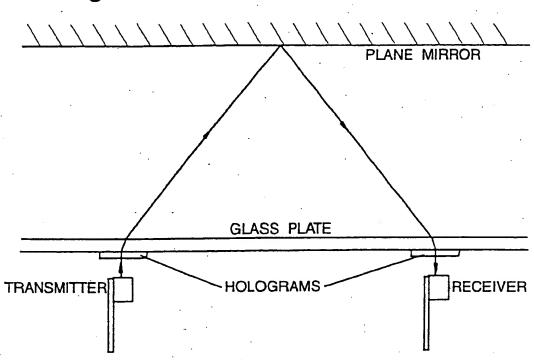
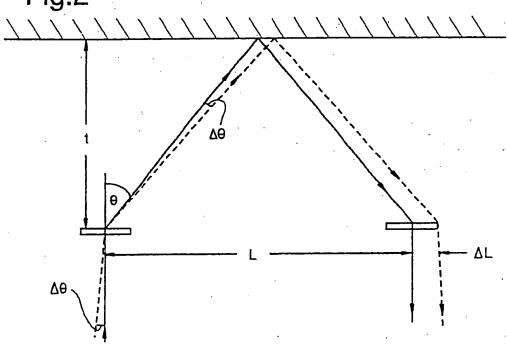
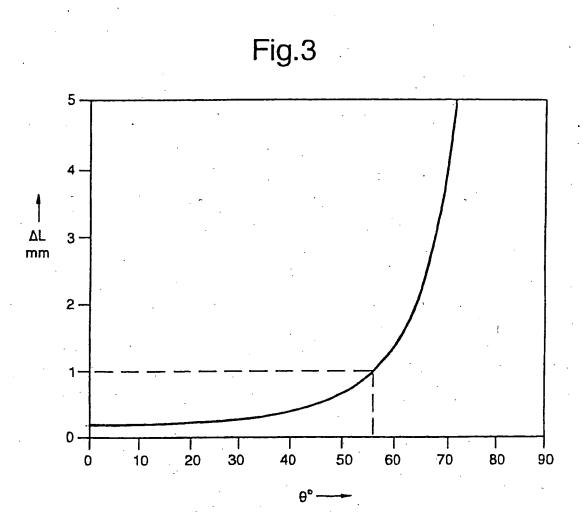
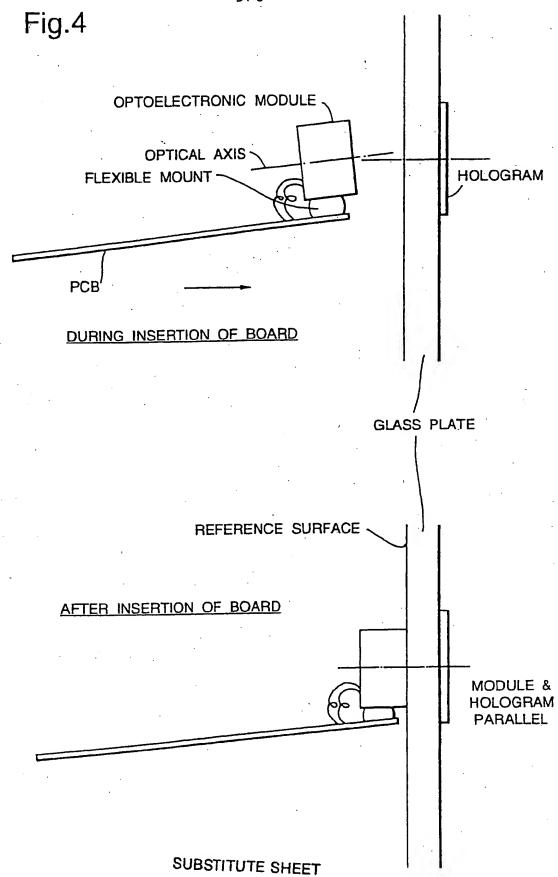


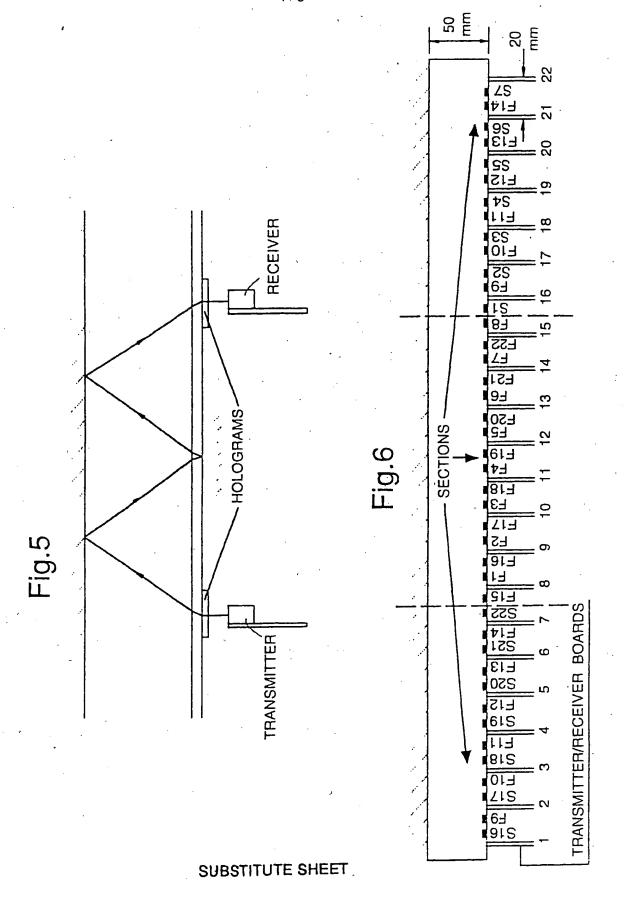
Fig.2

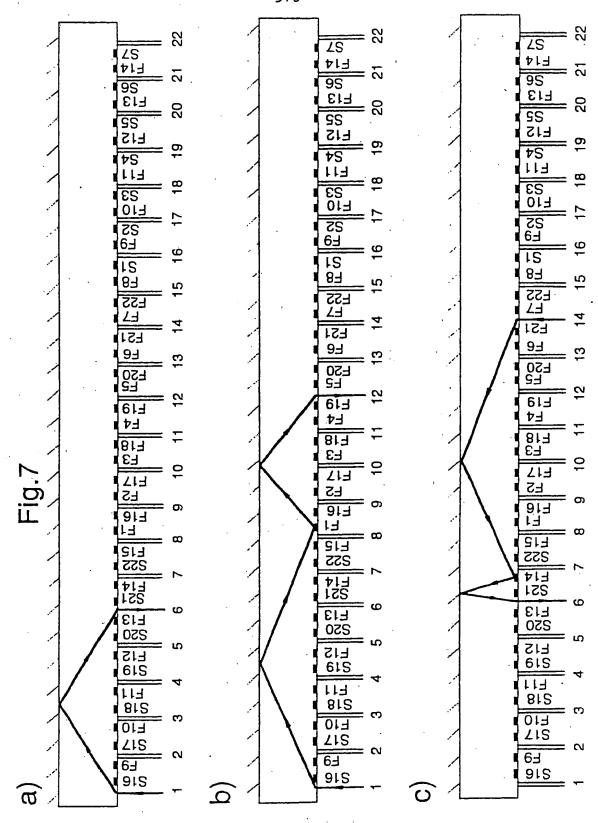


SUBSTITUTE SHEET

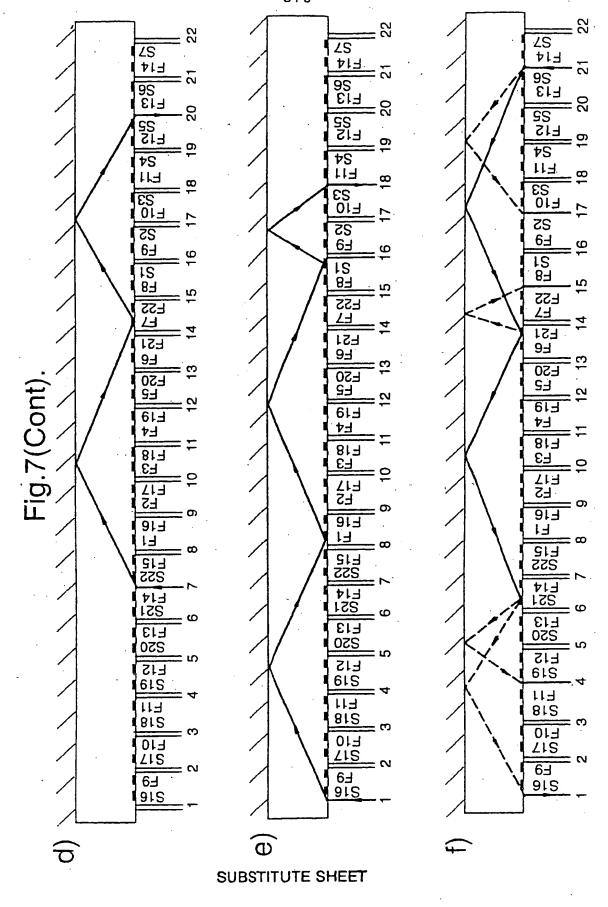




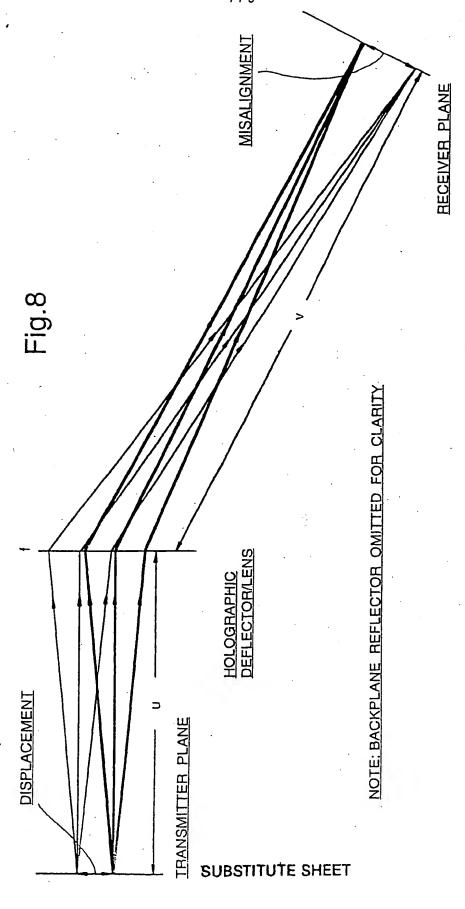


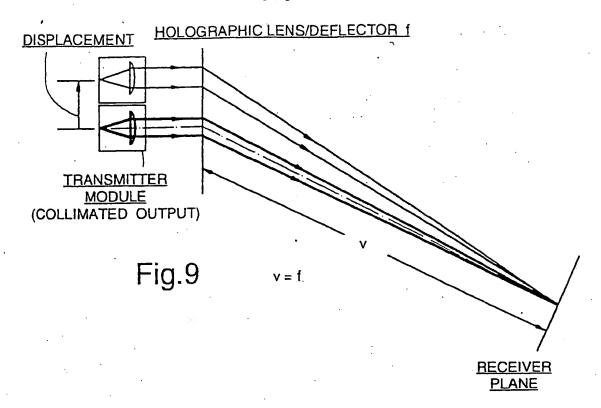


SUBSTITUTE SHEET

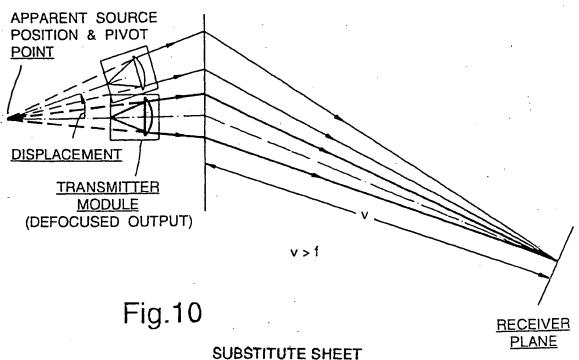








## HOLOGRAPHIC LENS/DEFLECTOR 1



			.,,
A. CLASSI IPC 5	FICATION OF SUBJECT MATTER G02B6/42 G02B5/32		
According to	n International Patent Classification (IPC) or to both national class	fication and IPC	
	SEARCHED		
Minimum de IPC 5	ocumentation searched (classification system followed by classification sy	tion symbols)	
Documentat	ion searched other than minimum documentation to the extent that	such documents are included	in the fields searched
Electronic d	ata base consulted during the international search (name of data ba-	se and, where practical, scarci	a terms used)
	•		1
	·		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the re-	devant passages	Relevant to claim No.
A	APPLIED OPTICS, vol.27, no.20, 15 October 1988		1
	pages 4251 - 4254 K.H.BRENNER 'diffractive-reflect optical interconnects' cited in the application	ive	·
A	JOURN. OF LIGHTWAVE TECHNOLOGY, vol.9, no.12, 1 December 1991 pages 1650 - 1656, XPO00275432 R.C.KIM 'an optical holographic interconnect system' cited in the application	oackplane	1
<b>A</b>	DE,A,39 32 652 (SIEMENS) 11 Apri see claims; figures		1
-		-/	
X Furt	her documents are listed in the continuation of box C.	X Patent family memb	ers are listed in annex.
* Special ca	tegories of cited documents:	T later document published	after the international filing date
consid	ent defining the general state of the art which is not level to be of particular relevance	or priority date and not cited to understand the j invention	or conflict with the application out
filing		connect be considered by	relevance; the claimed inversion ovel or cannot be considered to p when the document is taken alone
which citatio	cent which may throw doubts on priority d aim(s) or is cited to establish the publication date of another in or other special reason (as specified) cent referring to an oral disclosure, use, exhibition or	"Y" document of particular recannot be considered to	relevance; the claimed invention involve an inventive step when the with one or more other such docu-
other	means ent published prior to the international filing date but	ments, such combination in the art.  "&" document member of the	n being obvious to a person numer
	actual completion of the international search		nternational search report
	9 April 1994	i	4. 05. 94
	mailing address of the ISA	Authorized officer	
route Mill	European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijsmyk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo ni, Fax (+ 31-70) 340-3016	Pfahler, R	<b>.</b>

2

		PCT/GB 9	4/00220
	tion) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
A	US,A,4 720 634 (L.D.AURIA) 19 January 1988 see claims; figures		1
	EP,A,O 486 208 (GPT) 20 May 1992 see claims; figures		1
(,P	WO,A,93 09456 (UNIVERSITY NORTH CAROLINA) 13 May 1993 see claims; figures	•	1-3
, P	EP,A,O 560 511 (ATT) 15 September 1993 see claims; figures	·	1,4,5
. ]			·
			•
	:		
			•
ľ			
	•		
			•
	·	ļ	

PCI/GE	1 94,	/ UU	220
--------	-------	------	-----

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE-A-3932652	11-04-91	NONE	
US-A-4720634	19-01-88	FR-A- 2590995 EP-A,B 0196933 JP-A- 61196210	05-06-87 08-10-86 30-08-86
EP-A-0486208	20-05-92	CN-A- 1063172 GB-A- 2253317 JP-A- 4286191 PT-A- 99510 US-A- 5182780	29-07-92 02-09-92 12-10-92 31-12-93 26-01-93
WO-A-9309456	13-05-93	US-A- 5237434 AU-A- 3060192	17-08-93 07-06-93
EP-A-0560511	15-09-93	JP-A- 6011666	21-01-94